workshops

March 7–10, 2010   Palace Hotel

- Legal & Fiduciary Responsibilities
- Plan Design & Administration
- Employee Communication & Education
- Investment Management & Plan Funding
- Evaluating & Monitoring Your Plan

sunday

10:00 am **Pre-Conference Tutorial: Fiduciary Obligations Under ERISA**

monday

9:00 am **Welcome and Keynote: The 21st-Century Fiduciary: Lessons, Insights and Best Practices**
Sherryann Plessé, *Vanguard*

10:30 am	**Evolving ERISA Fiduciary Standards for DC Plan Sponsors** *Diversified Investment Advisors*	**The Automatic 401(k)—2010** *Retirement Made Simpler*	**Target Date Funds: Common Misperceptions** *Behavioral Research Associates, LLC*	**Evaluating, Selecting and Monitoring Service Providers** *401(k) Advisors*

11:30 am **Lunch and Speaker: "I Thought It Was Free"—The True Cost of Your Plan**
Stephen J. Smith, *Diversified Investment Advisors*

1:45 pm	**How to Set Up an Effective Retirement Plan Committee** *SageView Advisory Group*	**Case Study: Re-Enrollment and Target Date Funds** *Vanguard*	**Focusing Participants on Funding Retirement** *Klein Decisions, Inc.*	**Worried About Inflation and the Dollar?** *North Pier Fiduciary Management, LLC*
3:00 pm	**DOL Investigations and Enforcement Actions** *EBSA, U.S. Department of Labor*	**Can You Trust Your Consultants and Service Providers?** *Nixon Peabody LLP*	**What You Need to Know About Selecting a Plan Advisor** *Employee Benefits Training and Solutions, LLC*	**Case Study: Empowering Associates to Take Control of Personal Finances** *Humana Inc.*

4:00 pm Networking Reception

tuesday

8:30 am **Keynote Address: Helping Your Employees Reach Financial Wellness**
Kevin F. Crain, *Bank of America Merrill Lynch*

9:45 am	**Legal Update: Hot Topics in the Retirement Plan World** *Trucker Huss*	**Understanding, Documenting and Negotiating Plan Fees** *401(k) Advisors*	**Tools to Help Your Employees Reach Financial Wellness** *Bank of America Merrill Lynch*	**Helping Participants Manage the Distribution Phase of Retirement** *Diversified Investment Advisors*
11:00 am	**Ask the Lawyer** *DLA Piper US LLP*	**Case Study: Maintaining and Consolidating Multiple 401(k) Plans** *infoGROUP*	**Helping Pre-Retirees Achieve Their Retirement Dreams** *FINRA*	**Managed Accounts, Target Date Funds, Asset Allocation Funds—Which Is Best?** *SageView Advisory Group*

12:00 pm **Lunch and Speaker: The New 401(k) Handbook to Higher Consciousness: Less Is More**
Nick Della Vedova, *401(k) Advisors*

2:15 pm	**Group Decision-Making: Implications for Investment Committees** *Vanguard*	**Tragic Errors for Severance Plans—ERISA and 409A Considerations** *Trucker Huss*	**Rethinking Your Plan's Design to Create Employee Well-Being** *Bank of America Merrill Lynch*
3:30 pm	**Cut the Fat: Reduce Costs While Improving Your Plan** *North Pier Fiduciary Management, LLC*	**Case Study: From Opt-In to Opt-Out** *Mandarin Oriental Hotel Group*	**A Fully Funded Retirement for All** *Diversified Investment Advisors*

4:30 pm Reception

wednesday

8:30 am **Panel Discussion: Create a Winning Strategy for Your Plan and Participants**

10:15 am	**Common Mistakes Fiduciaries Make and How to Avoid Them** *401(k) Advisors*	**Case Study: Retirement Planning Education for Your Employees** *Westar Energy, Inc.*	**The Total Retirement Package** *Vanguard*
11:30 am	**Correcting Common Mistakes in 401(k) Plans** *Internal Revenue Service*	**Case Study: Changing 401(k) Providers During Investment Market Turmoil** *Evonik Degussa Corporation*	**Focusing Participants on Funding Retirement** *Klein Decisions, Inc.*

12:30 pm Lunch Buffet

View full session descriptions online at www.ucs-edu.net.